



If married, Spouse's Name:

Spouse's Citizenship ID No:

Dependents:

Name	Relationship	Date of Birth (d/m/y)
_____	_____	_____
_____	_____	_____
_____	_____	_____

8. Father's Name:

Mother's Name

Date of Birth:

Date of Birth:

Occupation:

Occupation:

E-mail:

E-mail:

Mobile No:

Mobile No:

9. Education:

<u>Education</u>	<u>Name &amp; Address of University/Institute</u>	<u>Year of attendance</u>	<u>Major area of study</u>	<u>Degree/ Certificate granted</u>	<u>Date awarded</u>
Professional or Specialization					
University graduate					
University undergraduate					

10. Employment Record (Pertaining to previous 5 years). Use additional sheets, if necessary, and account for all gaps in employment.

Position held/ Department (Division)	Main responsibilities	Organization	Country	Duration
_____	_____	_____	_____	_____
_____	_____	_____	_____	_____

11. Status of the proposed appointment

Please tick the relevant box:

<input type="checkbox"/>	Initial appointment	<input type="checkbox"/>	Independent Director
<input type="checkbox"/>	Re-appointment	<input type="checkbox"/>	Non-independent Director

12. Do you have a license to practice any profession? Yes ( ) No ( )

If yes, give details:

- (a) Nature of license:
- (b) Date Issued:
- (c) license/Registration Number:
- (d) Licensing Agency and Address:

13. (a) If self-employed, describe each enterprise, including the name, address, license number, your percentage of ownership and the type of business which you own or control. (Control means ownership of 10% or more of the stock or ability to effectively control the management of the corporation or other entity).

(b) List names, addresses and percentage of control and/or ownership of other incorporators, partners, directors, or officers of the entity or corporation referred to above.

14.

Are you employed in any professional capacity, or do you perform any services for or have any business connections with any institution which is subject to the supervision of the Royal Monetary Authority of Bhutan, or any other authority of the Royal Government of Bhutan?  If "Yes", indicate the name of the institution, address and nature of your work.	Yes ( )	No ( )
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15.

Have you had, or do you now have, any financial interest, direct or indirect, in any institution/bank in Bhutan  If "Yes", give the name of the institution, address and nature of the financial interest.	Yes ( )	No ( )
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16. Please tick whichever is relevant for the following questions below

	“Yes”	“No”	“N/A”
1. Do you have more than 5 years experience in banking, insurance, securities, finance, accounting, information technology & investment management	( )	( )	( )
2. Were you declared insolvent and adjudicated bankrupt	( )	( )	( )
3. Were you convicted by a court in any criminal offense or has been involved in any fraud/forgery, financial crime or other illegal activities	( )	( )	( )
4. Are any of your loan account with financial institutions classified as non-performing at any point of time (loan default by 60 days).	( )	( )	( )

17. Practicing accountants may be appointed as directors of financial institutions provided they are not employed or are not partners in an accounting firm, which has been engaged to conduct audit or consultancy work for that particular financial institution.

Are you a practicing accountant/consultant? ( ) Yes ( ) No

If “yes”, in what capacity?

18. Are you affiliated to any political party? ( ) Yes ( ) No

If “yes”, in what capacity?

19. Answer “Yes” or “No” to any of the questions below if they apply to you as an individual, or a partner, director or officer of a corporation except for minor traffic violations:

a. Are any arrests, indictments, criminal information or other criminal proceedings now pending against you?	Yes ( )	No ( )
b. Were you ever convicted for any violations of the law?	( )	( )
c. Have you or has any partnership of which you were a CEO or a major owner (more than or equal to 10% of the capital of the company) ever been liquidated or judged bankrupt?	( )	( )
d. Has any business of which you were a director, officer, partner or major shareholder (10% or more of the voting shares) defaulted on his/her loan repayment?	( )	( )
e. Has any enterprise in which you were a partner, director or officer been the subject of criminal indictment or other criminal proceedings?	( )	( )

## DECLARATION

I certify that I am aware that it is an offence under the Article 58 of the Financial Institutions Act 1992 to provide the Royal Monetary Authority any information which is false or misleading in a material particular.

I certify that the information given in form above is complete and accurate to the best of my knowledge, information and belief and that there are no other facts relevant to this application of which the Royal Monetary Authority should be aware.

I also undertake that as long as I continue to be a Director, I will notify the Director of the Financial Regulation and Supervision Department of the Royal Monetary Authority of any material changes to, or affecting the completeness or accuracy of, the information given above as soon as possible, but in any event no later than 10 days from the day the changes come to my attention.

Further, I would like to pledge that as long as I continue to be a Director of the company, I will not violate any laws and regulations of the RMA.

Name ..... Position held .....

Signed ..... Date .....  
*(Affix legal Stamp)*

### **Witness**

Name: .....

Citizenship ID No (please attest a copy) .....

Address: .....

Occupation: .....

Telephone No.....

E-mail address: .....

Signature: .....